



Section 8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Escobosa et al.)	
)	
Application No.:	09/615,473)	Group Art Unit: 2735
)	
Filing Date:	July 13, 2000)	Atty. Docket No.: 81230.56US1
)	
Title:	CUSTOMIZABLE AND)	
	UPGRADABLE DEVICES AND)	
	METHODS RELATED THERETO))	

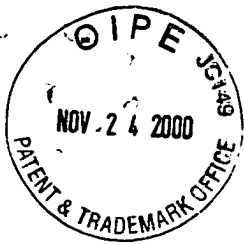
RESPONSE TO NOTICE TO FILE MISSING PARTS (37 CFR 1.53(b))

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

In response to the Notice to File Missing Parts of Nonprovisional Application mailed on October 26, 2000, the Commissioner is hereby authorized to charge Deposit Account Number 011,156 for the statutory basic filing fee, the additional claims fee and the surcharge as set forth in 37 CFR 1.16(e) for a total of \$1,565.00 since Applicant claims small entity status. The Commissioner is also authorized to charge any additional fees or credit overpayment to the deposit account.

Also enclosed herewith is a Small Entity Statement and the Fee Transmittal indicating the number of claims and pertinent fees.

In reviewing the Filing Receipt for this application we have noted that the total number of claims is indicated as "52". Please note that the correct number of total claims is "53". A copy of the Filing Receipt with the correction noted thereon is also enclosed herewith.



Respectfully submitted,

Date: November 20, 2000

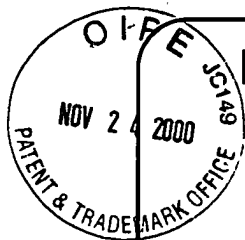
By: _____

John E. Hyatt, Reg. No. 43,421
Alzheimer & Gray
10 S. Wacker Dr. - Suite 4000
Chicago, IL 60606
(312) 715-4000

Certificate of Mailing: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box MISSING PARTS, Assistant Commissioner for Patents, Washington, DC 20231 on this 21st day of November, 2000.

Lisa Lyle

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/615,473
Filing Date	July 13, 2000
First Named Inventor	Marcus Escobosa
Examiner Name	not assigned
Group / Art Unit	2735
Attorney Docket No.	81230.56US1

TOTAL AMOUNT OF PAYMENT (\$) 1565

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

011,156

Deposit
Account
Name

Alzheimer & Gray

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	345
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 345)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
53	-20** = 33	X 9	= 297
Independent Claims	25	-3** = 22	X 39 = 858
Multiple Dependent		X	= 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 1155)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 65)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	John E. Hyatt	Registration No. Attorney/Agent)	43,421	Telephone	(312) 715-4544
Signature				Date	November 20, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/615,473	07/13/2000	2735	0	81230.56US1	19	52 53	25

Mark R Galis
Alzheimer & Gray
Suite 4000
10 South Wacker Drive
Chicago, IL 60606-7482

**RECEIVED
DOCKET**

NOV 06 2000

ALTHEIMER & GRAY

By: DKIO/te

FILING RECEIPT



OC00000005505635

Date Mailed: 10/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Marcus Escobosa, Placentia, CA ;
Patrick H. Hayes, Mission Viejo, CA ;
James N. Conway JR., Corona Del Mar, CA ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/29/2000

Title

Customizable and upgradable devices and methods related thereto

Preliminary Class

340

Data entry by : LE, HANH

Team : OIPE

Date: 10/26/2000





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/615,473	07/13/2000	Marcus Escobosa	81230.56US1

Mark R Galis
Alzheimer & Gray
Suite 4000
10 South Wacker Drive
Chicago, IL 60606-7482

FORMALITIES LETTER



OC000000005505636

Date Mailed: 10/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2292.
 - \$576 for 32 total claims over 20.
 - \$1716 for 22 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3112.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE